

**AUTHORIZATION FOR USE AND DISCLOSURE OF PROTECTED HEALTH INFORMATION  
FOR RESEARCH**

**PURPOSE AND INSTRUCTIONS:**

The Health Insurance Portability and Accountability Act of 1996 (“HIPAA”) and the HIPAA Privacy Rule authorize us to use and disclose your Protected Health Information, without your authorization or consent, for treatment, payment and health care operations activities. However, we do need your written authorization in order to use and disclose your Protected Health Information for research activities. We will not deny you treatment or care if you refuse to sign this Authorization. If you agree to allow us to use and disclose your Protected Health Information for research, please complete and sign this Authorization.

**Comment [K1]:** Do not feel we should be using HIE for research and therefore no need for a consent.  
--Joel Shoolin

**Comment [K2]:** The Authorization for Research should be revised to provide for the requirement that the subject sign the authorization in order to be able to participate in the research. This is different than conditioning treatment on signing which is proscribed by law.  
--Maria Pekar

(Please Print Legibly)

Patient Name (Last, First, Middle)		Medical Record Number	
Street Address		SSN or other ID (Please indicate other by name)	
City	State	Zip Code	Telephone

I, \_\_\_\_\_ [Your Name], authorize \_\_\_\_\_ (the “Provider”) to use and to disclose the Protected Health Information specified below to \_\_\_\_\_ [Identify recipient]

[If the recipient is intended to be health care providers participating in the Network/Exchange, then insert the following language above—other health care providers, including but not limited other health care providers participating in the Illinois Health Information Network/Exchange (“Network/Exchange”) who may request such information for research purposes and I hereby specifically authorize Provider to release my Protected Health Information to the Network/Exchange and researchers for that purpose].

**Protected Health Information to be Disclosed:** Specifically and meaningfully describe the Protected Health Information authorized to be disclosed for research:

\_\_\_\_\_

My authorization to disclose Protected Health Information for research purposes specifically includes the disclosure of the following categories/types of Protected Health Information. **UNDER ILLINOIS LAW, YOU MUST INITIAL EACH OF THE FOLLOWING CATEGORIES/TYPES OF PROTECTED HEALTH INFORMATION THAT YOU AUTHORIZE US TO DISCLOSE FOR RESEARCH:**

- \_\_\_\_\_ Alcohol Treatment Records (please initial) (Your authorization to disclose is valid for one (1) year from the date of date of this Authorization)
- \_\_\_\_\_ Drug Abuse Treatment Records (please initial) (Your authorization to disclose is valid for one (1) year from the date of date of this Authorization)
- \_\_\_\_\_ Mental Health and Developmental Disability Treatment Records (please initial) (Your authorization to disclose is valid for one (1) year from the date of date of this Authorization)
- \_\_\_\_\_ HIV/Acquired Immune Deficiency Syndrome (AIDS) Records (please initial)

\_\_\_\_\_ Hepatitis B or C Testing Records (please initial)

\_\_\_\_\_ Genetic Testing Records (please initial)

**EXPIRATION**

Except as otherwise specifically provided above, my authorization to use my Protected Health Information for research and to disclose my Protected health Information to other health care providers in the Network for research is valid for the for the time period between \_\_\_\_\_ (date) and \_\_\_\_\_ (date/event).

**RE-DISCLOSURE**

I understand that, except as otherwise specifically prohibited by Illinois or federal law, the Protected Health Information disclosed pursuant to this Authorization may be subject to re-disclosure by the recipient and may no longer be protected by the Health Insurance Portability and Accountability Act of 1996 or its implementing regulations. Participants in the Network, including Provider, are hereby released from any legal responsibility or liability for disclosure of the above information to the extent indicated and authorized herein.

**REVOCACTION**

I understand that I may revoke this Authorization, in whole or in part, by sending a written and dated notice to the Provider. The revocation will not apply to any uses and disclosures made prior to the receipt of the revocation by Provider.

**SIGNATURE OF PATIENT OR REPRESENTATIVE AUTHORIZED TO PERMIT DISCLOSURE**

I understand the purpose of this Authorization and agree to the disclosure of my medical and health information for research as set forth herein.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

Authority of Personal Representative (if applicable): \_\_\_\_\_

Identify Verified by:  Photo ID,  Matching Signature,  Other, Specify \_\_\_\_\_

**YOU ARE ENTITLED TO A COPY OF THIS AUTHORIZATION AFTER YOU SIGN IT.**

*Although there is a provision for consent for research, there is no indication that the data gathered will be done so without a clearly identified name. There is a question as to the necessity of using a name for research.-- Illinois Health Care Association*

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