

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 10/16/2007  
FORM APPROVED  
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>145818</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED  <b>C</b> <b>07/02/2007</b>
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NAME OF PROVIDER OR SUPPLIER  <b>ASTA CARE CENTER OF ROCKFORD</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>707 WEST RIVERSIDE BOULEVARD ROCKFORD, IL 61103</b>
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F 329	<p>Continued From page 11</p> <p><del>laboratory record shows that therapeutic range for Dilantin is 10-20. On 6/28/07 R1 was admitted to a local Emergency Department with the diagnoses of 1st and 2nd degree burns to the neck and chest and seizure disorder (per 6/28/07 hospital records).</del></p> <p><del>On 6/29/07 at 1:00 PM E2 (Director of Nursing - DON) said that R1's blood levels for Dilantin were not been being monitored and had not been since his admission to the facility. E2 said that the Dilantin levels should be monitored on a regular basis.</del></p>	F 329		
F9999	<p>FINAL OBSERVATIONS</p> <p>LICENSURE VIOLATIONS</p> <p>300.610a) 300.1210b)6) 300.3130c)3) 300.3130c)4) 300.3130c)5) 300.3240a)</p> <p>Section 300.610 Resident Care Policies a) The facility shall have written policies and procedures, governing all services provided by the facility which shall be formulated by a Resident Care Policy Committee consisting of at least the administrator, the advisory physician or the medical advisory committee and representatives of nursing and other services in the facility. These policies shall be in compliance with the Act and all rules promulgated thereunder. These written policies shall be followed in operating the facility and shall be reviewed at least annually by this committee, as evidenced by written, signed and dated minutes of such a meeting.</p>	F9999		

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F9999	<p>Continued From page 12</p> <p>Section 300.1210 General Requirements for Nursing and Personal Care b)6) General nursing care shall include at a minimum the following and shall be practiced on a 24-hour, seven day a week basis: All necessary precautions shall be taken to assure that the residents' environment remains as free of accident hazards as possible. All nursing personnel shall evaluate residents to see that each resident receives adequate supervision and assistance to prevent accidents.</p> <p>Section 300.3130 Plumbing Systems c)3) Hot water distribution systems shall be arranged to provide hot water of at least 100 degrees Fahrenheit at each hot water outlet at all times. c)4) Hot water available to residents at shower, bathing and handwashing facilities shall not exceed 110 degrees Fahrenheit. c)5) Protective measures, such as but not limited to, installation of a mixing valve, limited access to controls, and checking water temperatures daily at various points, shall be implemented to insure that the temperature of hot water available to residents at shower, bathing and handwashing facilities shall not exceed 110 degrees Fahrenheit.</p> <p>Section 300.3240 Abuse and Neglect a) An owner, licensee, administrator, employee or agent of a facility shall not abuse or neglect a resident.</p> <p>These Requirements are not met as evidenced by:  Based on observation, interview, and record</p>	F9999		
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F9999	<p>Continued From page 13</p> <p>review the facility failed to:</p> <p>1] Supervise a resident, who is at risk for seizures, while in the shower. R1 was in the first floor shower with water running for an hour on 6/28/07. The resident may have had a seizure while in the shower.</p> <p>2] Ensure water temperatures in resident bathing areas did not exceed the maximum allowable temperature of 110 degrees Fahrenheit. The facility has no water mixing valves and failed to monitor water temperatures to assure they were within a safe range.</p> <p>This failure resulted in R1 sustaining 2nd degree burns to the right side of his neck and the right upper chest area, and 1st degree burns to his chest area while taking a shower in the first floor shower room.</p> <p>All 117 residents who reside in the facility have the potential to be affected. R1 is 1 of 4 residents who take showers without supervision.</p> <p>Findings Include:</p> <p>R1 is an 87 year old resident with the diagnoses of Seizure Disorder, Senile Dementia, Hypertension, Status Post Cerebral Vascular Accident, Urinary Incontinence, and Generalized Anxiety Disorder, according to the June 2007 Physician Order Sheet (POS). The June 2007 POS shows that the resident's medications include Dilantin (anti-convulsant) 200mg 3 times daily and Aricept 5mg at bedtime (for Dementia). R1's resident assessment dated 5/3/07 shows that he has a problem with short term memory and that he requires supervision with bathing. On 6/29/07 at 10:45 AM, E8 (Registered Nurse) said that R1 usually takes care of himself, he does his</p>	F9999		

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F9999	<p>Continued From page 14 own showers, and he just needs reminders.</p> <p>R1's Care Plan dated 5/3/07 states that R1 "requires supervision with bathing." R1's Care Plan for Altered Thought Processes, also dated 5/3/07, states that the resident has, "episodes of confusion and paranoia." One of the interventions is to redirect (R1) from unsafe areas. R1's Injury Risk Care Plan dated 5/3/07 says that the resident has a diagnosis of Seizure Disorder.</p> <p>E3, Registered Nurse, documents in the Nurses Notes on 6/28/07: 3:30 PM - "Patient was seen on his way to the Bathroom to shower. Patient reminded that today is not his usual shower day and he cannot take long shower; other residents are going to shower. 3:50 PM - "Writer informed patient that he needs to come out of the shower because other residents are waiting their turn. " 4:00 PM and again at 4:15 PM CNA checked on R1. 4:30 PM - "...instructed CNA to check on R1. Staff came in the shower and patient was noted sitting in shower chair having an emesis and facial twitching lasting for 1 minute. Patient assisted to room noted 2 centimeter blister on his chest...Ambulance contacted and transported patient (to hospital)..."</p> <p>On 6/29/07 at 12:15 PM, E3 said that R1 likes to take long showers. He began taking his shower at 3:30 PM. E3 said that R1 was asked more than three times to get out of the shower so that other residents could shower. She said that at 5:00 PM a Certified Nursing Assistant (CNA) went to check on him. She said that "R1 was found sitting in the shower chair; he had had an emesis</p>	F9999	

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F9999	<p>Continued From page 15</p> <p>of undigested food. E3 said that twitching was observed to R1's face; his eyes were open, he was mumbling. His skin was 'pruny' and very warm to the touch. The resident was not very responsive; I think he had a seizure. The room was very hot and steamy. The water was still running in the shower and was very hot. There was no cold water running. While drying him off we noted a blister on his chest it was about 2 cm in size. By the time the resident was transferred to the hospital the blister had become larger."</p> <p>Hospital Emergency Department records dated 6/28/07 show that R1's admitting diagnoses are 1st and 2nd degree burns to the neck and chest and seizure disorder.</p> <p>On 6/29/07 at 10:40 AM, R1 was observed in the hospital. A blister the size of a hand was noted to the right side of his chest and the right side of his neck. Z2 said that due to the resident's confusion a sitter has been assigned to stay with him to keep him from injury while in the hospital.</p> <p>At 10:00 AM on 6/29/07, E1 (Administrator) was asked for the facility policy on monitoring water temperatures in the facility. E1 said that they do not have a policy. The facility uses the Burns &amp; Scalding Alert: Facts &amp; Prevention sheet published by the Department of Human Services, as their guideline for water temperatures. The sheet states: "Check water temperatures daily at various points to insure that temperature of hot water available to individuals at shower, bathing, and hand washing facilities does not exceed 110 degrees Fahrenheit.</p> <p>On 6/29/07 at 10:40, AM E5 (Certified Nursing Assistant - CNA) said that the water in the shower</p>	F9999			

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F9999	<p>Continued From page 16 on 1st floor gets hot.</p> <p>During confidential interviews at 11:00 AM on 6/29/07, Z3, Z4, Z6, &amp; Z7 all said that the water temperatures in the shower rooms have to be adjusted or the water would be too hot.</p> <p>On 6/29/07 at 12:10 PM, E6 (Maintenance Supervisor) was asked if he monitors the water temperatures throughout the facility. E6 presented a log. He said that he randomly chooses patient rooms and shower rooms on a daily basis to check the water temperatures. E6 said that if the water is greater than 115 degrees Fahrenheit (F) he would adjust the boiler temperature to keep the water between 100 -115 degrees. Review of the water temperature logs shows that the only temperatures written down were the boiler temperatures. There were no temperatures written down for any of the water outlets in resident rooms or shower rooms. E6 said that he was puts a check mark showing that the temperatures were checked and that they are usually around 113 degrees F. E6 said that the hottest water is on the first floor probably because of the physical distance from the boiler to the 1st floor. Review of the boiler temperatures for April, May and June 2007 shows that the temperatures run between 115 and 125 degrees F. E6 was asked how often he calibrates his thermometer before checking the water temperatures. E6 said that he has used the same thermometer for about two years and has never calibrated his thermometer. E6 calibrated his thermometer using ice and water. The thermometer read 0 degrees F. E6 said that because of the zero reading, the thermometer was accurate.</p> <p>On 6/29/07 at 2:00 PM E7 (Assistant</p>	F9999		
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F9999	<p>Continued From page 17</p> <p>Maintenance) said that he has never seen E6 take water temperatures in resident rooms, shower rooms, or the boiler.</p> <p>On 6/29/07 the facility called in an outside agency to come and check the boiler system for defective parts. At 3:30 PM the technicians report was reviewed. The report states, "Found boiler cycling and temperature at 130 degrees, controller set at 110 degrees. Aquastat set at 105 degrees F and reading 110 degrees. Boiler Aquastat was turned down prior to my arrival...Will order new stat for controller and also replace Aquastat...."</p> <p>During a confidential interview on 6/29/07 at 3:00 PM, Z8 said that the facility plumbing system does not have mixing valves, it has Aquastats. The Burns &amp; Scalding Alert: Facts &amp; Prevention sheet published by the Department of Human Services, which the facility uses as their guideline for water temperatures states..."install mixing valves and Aquastats on plumbing systems." The facility has requested that the outside agency give them price quotes for positive temperature control valves. According to the Burns &amp; Scalding Alert: Facts &amp; Prevention it says to,"...install anti-scald devices on faucets and showerheads...."</p> <p>The Engineering and Sciences Division of the United States Consumer Product Safety Commission time-temperature burn chart shows that a water temperature of 116 degrees Fahrenheit (F.) and a 45 minute exposure time would cause 2nd degree burns.</p> <p>(A)</p>	F9999		
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